SEC Form 4
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Instruction 1(b). 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person' (Check all applicable) <u>eFFECTOR Therapeutics, Inc.</u> [ EFTR ] ROOT JONATHAN D X Director Х 10% Owner Other (specify Officer (give title 3. Date of Earliest Transaction (Month/Day/Year) (Middle) below) below) (Last) (First) 11/18/2021 1460 EL CAMINO REAL **SUITE 100** 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) Х Form filed by One Reporting Person 94025 MENLO PARK CA Form filed by More than One Reporting Person (City) (State) (Zip)

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

	Derivative	Securities Act	quirec	, Di	sposed of	, 01 De				
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	11/18/2021		s		16,226	D	<b>\$</b> 8.528 <sup>(1)</sup>	4,549,398	I	Directly owned by USVP X <sup>(2)</sup>
Common Stock	11/18/2021		S		519	D	<b>\$</b> 8.528 <sup>(1)</sup>	145,544	Ι	Directly owned by AFF X <sup>(2)</sup>
Common Stock	11/19/2021		s		7,017	D	<b>\$</b> 8.121 <sup>(1)</sup>	4,542,381	I	Directly owned by USVP X <sup>(2)</sup>
Common Stock	11/19/2021		s		224	D	<b>\$</b> 8.12 <sup>(1)</sup>	145,320	I	Directly owned by AFF X <sup>(2)</sup>
Common Stock	11/22/2021		s		25,371	D	<b>\$7.86</b> <sup>(1)</sup>	4,517,010	I	Directly owned by USVP X <sup>(2)</sup>
Common Stock	11/22/2021		s		812	D	<b>\$</b> 7.86 <sup>(1)</sup>	144,508	Ι	Directly owned by AFF X <sup>(2)</sup>

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature 3. Transaction 10. Derivative Security (Instr. 3) Conversion or Exercise Price of Amount of Securities Underlying derivative Securities Beneficially Ownership Form: Direct (D) of Indirect Beneficial Ownership Dat Execution Date Transaction Expiration Date (Month/Day/Year) Derivative Code (Instr. 8) (Month/Day/Year) Derivative if any Security (Instr. 5) (Month/Dav/Year) Securities Owned Following or Indirect (I) (Instr. 4) Derivative Acquired Derivative (Instr. 4) (A) or Disposed of (D) (Instr. 3, 4 Security (Instr. 3 and 4) Security Reported Transaction(s) (Instr. 4) and 5) Amount or Number Date Expiration of Date Shares Code v (A) (D) Exercisable Title

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$8.01 to \$9.12; inclusive for sales on 11/18/21; prices ranging from \$7.75 to \$8.06, inclusive for sales on 11/22/21. The reporting persons undertake to provide the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote to this Form 4

2. Presidio Management Group X, L.L.C. ("PMG X"), the general partner of each of U.S. Venture Partners X, L.P. ("USVP X") and USVP X Affiliates L.P. ("AFF X") (together, the "USVP X Funds"),

has sole voting and dispositive power with respect to the shares held by the USVP X Funds. The Reporting Person is a managing member of PMG X with additional rights with respect to the Issuer securities, and may be deemed to have sole voting and dispositive power with respect to the shares. The Reporting Person disclaims beneficial ownership of shares held by the USVP X Funds, except to the extent of any proportionate pecuniary interest therein.

## Dale Holladay, Attorney in East for the Benerica Berry 11/22/2021

Fact for the Reporting PersonIII/2\*\* Signature of Reporting PersonDate

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.